

COPY OF PAPERS
ORIGINALLY FILEDPATENT
Attorney Reference Number 6115-58351

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Scheurich et al.

Art Unit: 2171

Application No. 09/925,125

Filed: August 9, 2001

For: SYSTEMS AND METHODS FOR
GENERATING INFORMATION FROM A
DATA COLLECTION TO SUPPORT
DECISION-MAKING

Examiner: Not yet assigned

Date: May 3, 2002

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on May 3, 2002, as First Class Mail in an envelope addressed to:
COMMISSIONER FOR PATENTS, WASHINGTON D.C.
20231.


Attorney for Applicant

RECEIVED

MAY 16 2002

Technology Center 2100


TRANSMITTAL LETTERCOMMISSIONER FOR PATENTS
Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

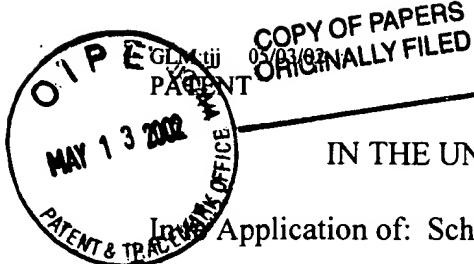
- ☒ Copy of Notice of Omitted Item(s) in a Non-Provisional Application
- ☒ Petition (fee of \$130.00)
- ☒ Copy of drawing as originally filed with application on August 9, 2001 (stamped "COPY")
- ☒ Corrected drawing as mailed on October 24, 2001, with corrected papers
- ☒ Copy of postcard mailed with application and returned by the PTO (Exhibit A)
- ☒ Copy of postcard mailed with the response to the Notice to File Corrected Application Papers and returned by the PTO (Exhibit B)
- ☒ Copy of filing receipt indicating 103 drawings received (Exhibit C)
- ☒ A check in the amount of \$130.00 to cover the above-listed fees
- ☒ The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,
KLARQUIST SPARKMAN, LLP

By


Gregory L. Maurer
Registration No. 43,781

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor's Office: Scheurich et al.

Art Unit: 2171

Application No. 09/925,125

Filed: August 9, 2001

For: SYSTEMS AND METHODS FOR
GENERATING INFORMATION FROM A
DATA COLLECTION TO SUPPORT
DECISION-MAKING

Examiner:

Date: May 3, 2002

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on May 3, 2002 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

G. L. Maurer
Attorney for Applicant

RECEIVED
MAY 16 2002
Technology Center 2100

PETITION (37 CFR § 1.182 - Response to Notice of Omitted Items)

Sir:

This Petition is a response to the Notice of Omitted Items(s) dated March 4, 2002, which alleges that Figure 23E of the above-identified patent application appeared to have been omitted from the patent application as filed. Applicants respectfully contend the Notice's allegation.

Applicants' records indicate that Figure 23E was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the patent application papers. Attached hereto is a copy of the postcard (Exhibit A) which confirms that the USPTO received all 103 pages of the drawings (which included Figure 23E) with the patent application as filed on August 8, 2001. Separately, also attached hereto is a copy of the postcard (Exhibit B) which confirms that the USPTO received all 103 pages of the drawings (which included Figure 23E) with the response to the Notice to File Corrected Application Papers.

Moreover, a copy of the Filing Receipt from the USPTO confirming receipt of 103 drawings (which included Figure 23E) filed on the filing date of August 9, 2001, is attached as Exhibit C.

For the convenience of the Office, Applicants offer the following itemization of the drawings:

| Drawings | Number of sheets |
|----------------|--------------------------|
| Figs. 1-11 | 11 |
| Figs 12A-E | 1 (all on one sheet) |
| Fig. 13 | 1 |
| Figs. 14A-H | 7 (A and B on one sheet) |
| Figs. 15A-15FF | 32 |
| Figs. 16A-16C | 3 |
| Figs. 17-18 | 2 |
| Figs. 19A-19I | 9 |
| Figs. 20A-20E | 5 |
| Figs. 21A-21T | 20 |
| Figs. 22A-22B | 2 |
| Figs. 23A-23G | 7 |
| Figs. 24-26 | 3 |
| Total | 103 |

Also included herewith is a copy of drawing 23E as filed with the patent application on August 9, 2001, (stamped "COPY"). The Express Mail label number on the drawing matches that on the postcard, and the drawing indicates that it is "98 of 103." Accordingly, there is sufficient evidence to establish that 103 drawings, including FIG. 23E, were deposited with the original application papers on August 9, 2001. For the convenience of the Office, Applicants also file herewith the corrected version of drawing 23E as filed with the response to the Notice to File Corrected Application Papers.

The fee of \$130.00 required by § 1.17(h) is filed herewith. Pursuant to the Notice of Omitted Item(s) in a Non-Provisional Application, Applicants hereby respectfully request a refund after it is confirmed that the item was in fact received by the USPTO.

Separately, Applicants note that a Request for Substitute Papers was mailed by the USPTO on March 15, 2002, indicating that various papers were affected by the United States Postal Service sanitation process. Applicants responded to the Request in a separate paper.

Should any further information be required, the below attorney invites the Office to contact him via telephone.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By



Gregory L. Maurer
Registration No. 43,781

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--|---------------------|-----------------------|------------------------|
| 09/925,125 COPY OF PAPERS ORIGINALLY FILED | 08/09/2001 | Larry Scheurich | 6115-58351 |

24197
KLARQUIST SPARKMAN, LLP
121 SW SALMON STREET
SUITE 1600
PORTLAND, OR 97204



RECEIVED

MAY 16 2002

Technology Center 2100

CONFIRMATION NO. 5893

FORMALITIES LETTER



OC000000007568515

Date Mailed: 03/04/2002

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 23E described in the specification.

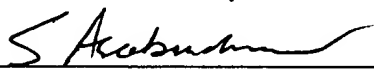
I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date must be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS
ORIGINALLY FILED

FIG. 23E

2305

```
- <DIMENSION LABEL="PERIODS" NAME="PERIOD_D">
  <ATTRIBUTE LABEL="PERIOD" NAME="PERIOD" />
  <ATTRIBUTE LABEL="PERIOD END DATE" NAME="PERIOD_END_DATE" />
  <ATTRIBUTE LABEL="PERIOD KEY" NAME="PERIOD_KEY" />
  <ATTRIBUTE LABEL="PERIOD START DATE" NAME="PERIOD_START_DATE" />
  <ATTRIBUTE LABEL="PERIOD TYPE" NAME="PERIOD_TYPE" />
  <ATTRIBUTE LABEL="QUARTER" NAME="QUARTER" />
  <ATTRIBUTE LABEL="YEAR" NAME="YEAR" />
</DIMENSION>
- <DIMENSION LABEL="PRODUCT" NAME="PRODUCT_D">
  <ATTRIBUTE LABEL="PRODUCT" NAME="PRODUCT" />
  <ATTRIBUTE LABEL="PRODUCT DESCRIPTION" NAME="PRODUCT_DESC" />
  <ATTRIBUTE LABEL="PRODUCT KEY" NAME="PRODUCT_KEY" />
</DIMENSION>
- <DIMENSION LABEL="PA PROJECT CLASSES" NAME="PROJECT_CLASS_D">
  <ATTRIBUTE LABEL="PROJECT CLASS CATEGORY"
NAME="PROJECT_CLASS_CATEGORY" />
  <ATTRIBUTE LABEL="PROJECT CLASS CODE" NAME="PROJECT_CLASS_CODE" />
  <ATTRIBUTE LABEL="PROJECT CLASS DESCRIPTION"
NAME="PROJECT_CLASS_DESCRIPTION" />
  <ATTRIBUTE LABEL="PROJECT KEY" NAME="PROJECT_KEY" />
</DIMENSION>
- <DIMENSION LABEL="PO REQUESTORS" NAME="REQUESTOR_D">
  <ATTRIBUTE LABEL="REQUESTOR" NAME="REQUESTOR" />
  <ATTRIBUTE LABEL="REQUESTOR KEY" NAME="REQUESTOR_KEY" />
</DIMENSION>
- <DIMENSION LABEL="SUB-ACCOUNT" NAME="SUB_ACCOUNT_D">
  <ATTRIBUTE LABEL="SUB-ACCOUNT" NAME="SUB_ACCOUNT" />
  <ATTRIBUTE LABEL="SUB-ACCOUNT KEY" NAME="SUB_ACCOUNT_KEY" />
  <ATTRIBUTE LABEL="SUB-ACCOUNT DESCRIPTION" NAME="SUB_ACCOUNT_DESC" />
</DIMENSION>
- <DIMENSION LABEL="AP VENDORS" NAME="VENDOR_D">
  <ATTRIBUTE LABEL="ACTIVE END DATE" NAME="ACTIVE_END_DATE" />
  <ATTRIBUTE LABEL="ACTIVE FLAG" NAME="ACTIVE_FLAG" />
  <ATTRIBUTE LABEL="ACTIVE START DATE" NAME="ACTIVE_START_DATE" />
  <ATTRIBUTE LABEL="VENDOR SITE ADDRESS LINE1" NAME="ADDRESS_LINE1" />
  <ATTRIBUTE LABEL="VENDOR SITE ADDRESS LINE2" NAME="ADDRESS_LINE2" />
  <ATTRIBUTE LABEL="VENDOR SITE ADDRESS LINE3" NAME="ADDRESS_LINE3" />
  <ATTRIBUTE LABEL="VENDOR SITE AREA CODE" NAME="AREA_CODE" />
  <ATTRIBUTE LABEL="VENDOR SITE CITY" NAME="CITY" />
  <ATTRIBUTE LABEL="VENDOR HOLD REASON" NAME="HOLD_REASON" />
  <ATTRIBUTE LABEL="VENDOR SITE PHONE NUMBER" NAME="PHONE_NUMBER" />
```

RECEIVED
MAY 16 2002
Technology Center 2100

COPY

FIG. 23E

2305

```
- <DIMENSION LABEL="PERIODS" NAME="PERIOD_D">
  <ATTRIBUTE LABEL="PERIOD" NAME="PERIOD" />
  <ATTRIBUTE LABEL="PERIOD END DATE" NAME="PERIOD_END_DATE" />
  <ATTRIBUTE LABEL="PERIOD KEY" NAME="PERIOD_KEY" />
  <ATTRIBUTE LABEL="PERIOD START DATE" NAME="PERIOD_START_DATE" />
  <ATTRIBUTE LABEL="PERIOD TYPE" NAME="PERIOD_TYPE" />
  <ATTRIBUTE LABEL="QUARTER" NAME="QUARTER" />
  <ATTRIBUTE LABEL="YEAR" NAME="YEAR" />
</DIMENSION>
- <DIMENSION LABEL="PRODUCT" NAME="PRODUCT_D">
  <ATTRIBUTE LABEL="PRODUCT" NAME="PRODUCT" />
  <ATTRIBUTE LABEL="PRODUCT DESCRIPTION" NAME="PRODUCT_DESC" />
  <ATTRIBUTE LABEL="PRODUCT KEY" NAME="PRODUCT_KEY" />
</DIMENSION>
- <DIMENSION LABEL="PA PROJECT CLASSES" NAME="PROJECT_CLASS_D">
  <ATTRIBUTE LABEL="PROJECT CLASS CATEGORY"
NAME="PROJECT_CLASS_CATEGORY" />
  <ATTRIBUTE LABEL="PROJECT CLASS CODE" NAME="PROJECT_CLASS_CODE" /
>
  <ATTRIBUTE LABEL="PROJECT CLASS DESCRIPTION"
NAME="PROJECT_CLASS_DESCRIPTION" />
  <ATTRIBUTE LABEL="PROJECT KEY" NAME="PROJECT_KEY" />
</DIMENSION>
- <DIMENSION LABEL="PO REQUESTORS" NAME="REQUESTOR_D">
  <ATTRIBUTE LABEL="REQUESTOR" NAME="REQUESTOR" />
  <ATTRIBUTE LABEL="REQUESTOR KEY" NAME="REQUESTOR_KEY" />
</DIMENSION>
- <DIMENSION LABEL="SUB-ACCOUNT" NAME="SUB_ACCOUNT_D">
  <ATTRIBUTE LABEL="SUB-ACCOUNT" NAME="SUB_ACCOUNT" />
  <ATTRIBUTE LABEL="SUB-ACCOUNT KEY" NAME="SUB_ACCOUNT_KEY" />
  <ATTRIBUTE LABEL="SUB-ACCOUNT DESCRIPTION"
NAME="SUB_ACCOUNT_DESC" />
</DIMENSION>
- <DIMENSION LABEL="AP VENDORS" NAME="VENDOR_D">
  <ATTRIBUTE LABEL="ACTIVE END DATE" NAME="ACTIVE_END_DATE" />
  <ATTRIBUTE LABEL="ACTIVE FLAG" NAME="ACTIVE_FLAG" />
  <ATTRIBUTE LABEL="ACTIVE START DATE" NAME="ACTIVE_START_DATE" />
  <ATTRIBUTE LABEL="VENDOR SITE ADDRESS LINE1" NAME="ADDRESS_LINE1" />
  <ATTRIBUTE LABEL="VENDOR SITE ADDRESS LINE2" NAME="ADDRESS_LINE2" />
  <ATTRIBUTE LABEL="VENDOR SITE ADDRESS LINE3" NAME="ADDRESS_LINE3" />
  <ATTRIBUTE LABEL="VENDOR SITE AREA CODE" NAME="AREA_CODE" />
  <ATTRIBUTE LABEL="VENDOR SITE CITY" NAME="CITY" />
  <ATTRIBUTE LABEL="VENDOR HOLD REASON" NAME="HOLD_REASON" />
  <ATTRIBUTE LABEL="VENDOR SITE PHONE NUMBER" NAME="PHONE_NUMBER" /
>
```

RECEIVED
MAY 16 2002
Technology Center 2100

J1036 U.S. PTO

09/925125

SEC 6M:Gj

ATTORNEY REF. NO. 6115-52351

INVENTOR(S) Schewich et al.

TITLE Systems and Methods for Storing Information

CLIENT NAME DecisionPoint Applications, Inc.

The following, mailed on Aug. 9, 01 by Express Mail Label No. EL759021232US was received in the U.S. Patent and Trademark Office on the date stamped hereon:

- | | |
|--|--|
| <input checked="" type="checkbox"/> 26pp. Spec., 13 pp. Claims, and Abstract | <input type="checkbox"/> Associate POA |
| <input checked="" type="checkbox"/> Combined Declaration/POA or <u>Oath (2)</u> | <input checked="" type="checkbox"/> Info. Disclosure Statement |
| <input type="checkbox"/> Verified Stmt. Claiming Small Entity Status: | <input checked="" type="checkbox"/> Form PTO-1449/References |
| <input type="checkbox"/> Ind. Inventor <input type="checkbox"/> Sm. Bus. Concern | <input checked="" type="checkbox"/> Other <u>Non-Publication Request</u> |
| <input type="checkbox"/> Nonprofit Org. <input type="checkbox"/> Non-Inventor | <input checked="" type="checkbox"/> Trans. Ltr. + <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 copy(s) |
| <input checked="" type="checkbox"/> Drawings <u>13</u> Sheet(s) <input checked="" type="checkbox"/> Formal <input type="checkbox"/> Informal | <input checked="" type="checkbox"/> Deposit Acct. 02-4550 Authority |
| <input type="checkbox"/> Certified copy of <u>Application</u> | <input checked="" type="checkbox"/> Assignment to: <u>DecisionPoint Applications, Inc.</u> (2) |
| <input checked="" type="checkbox"/> Assignment to: <u>DecisionPoint Applications, Inc.</u> | <input checked="" type="checkbox"/> Check for \$ <u>2304.00</u> to cover <input checked="" type="checkbox"/> filing fee and <input checked="" type="checkbox"/> assignment recordal. |

Exhibit A

CLIENT/MATTER NO. US-58351ATTY/SEC. 60m:jiINVENTOR(S): Scheurich et al.APP. NO. 09/425,125

FILING DATE

8/9/01The following, due 11/18/01

mailed

10/24/01

by First Class Mail, was received in the U.S. PTO on the date stamped hereon.

| | | | | | |
|---|--|---|--|--|--|
| <input type="checkbox"/> Amendment | | <input type="checkbox"/> Marked Up Claims/Spec. | | <input type="checkbox"/> After Final Rejection | |
| <input type="checkbox"/> Extension of Time/Extension Fee for <input type="checkbox"/> months | | | | | |
| <input type="checkbox"/> Notice to File Missing Parts - Date | | | | <input type="checkbox"/> Ltr to Draftsperson | |
| <input checked="" type="checkbox"/> Notice to File Corrected App. Papers - Date <u>9/10/01</u> | | | | <input type="checkbox"/> Notice-Allowability | |
| <input type="checkbox"/> Comb. Dec./POA <u>103</u> Sht(s) Drawings | | | | <input type="checkbox"/> Sht(s) Drawings | |
| <input type="checkbox"/> Issue Fee Transmittal (Form PTOL-85b) | | | | <input type="checkbox"/> Sequence Listing | |
| <input type="checkbox"/> Supp. Dec. <input type="checkbox"/> Issue/Adv. Order fees | | | | <input type="checkbox"/> Stmt in Comp. <input type="checkbox"/> Disk | |
| <input type="checkbox"/> IDS <input type="checkbox"/> Form PTO-1449/Ref. | | | | <input type="checkbox"/> Petition | |
| Assignment to: _____ | | | | | |
| <input checked="" type="checkbox"/> Transmittal Ltr. + <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 copy(ies) | | | | <input type="checkbox"/> Recordal Sheet | |
| <input type="checkbox"/> Check No. _____ for \$ _____ | | | | <input checked="" type="checkbox"/> Request for Corrected Filing Receipt | |
| | | | | <input checked="" type="checkbox"/> Deposit Acct. 02-4550 Authority | |

COPY OF P
ORIGINALLY

RECEIVED
MAY 16 2002
Technology Center 2100

COPY

Exhibit B

COPY OF PAPERS
ORIGINALLY FILED

Page 1 of 3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/925,125 | 08/09/2001 | 2171 | 2224 | 6115-58351 | 103 | 73 | 10 |

CONFIRMATION NO. 5893

24197
KLARQUIST SPARKMAN CAMPBELL LEIGH &
WHINSTON, LLP
121 SW SALMON STREET
SUITE 1600
PORTLAND, OR 97204-9446

FILING RECEIPT



OC000000006567996

PREVIOUSLY DOCKETED

Date Mailed: 09/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Larry Scheurich, Tigard, OR;
Neil Barton, Beaverton, OR;
Marc Demarest, Hillsboro, OR;
Kurt Ehrler, Portland, OR;
Eric Halvorson, Portland, OR;
Michael Bonaventura, Kirkcudbright, UNITED KINGDOM;

RECEIVED

MAY 16 2002

Technology Center 2100

Assignment For Published Patent Application

DecisionPoint Applications, Inc.;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/17/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

COPY

Exhibit C

Title

Systems and methods for generating information from a data collection to support decision-making

Preliminary Class

707

Data entry by : GEREMEW, FIKIRTE

Team : OIPE

Date: 09/18/2001



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231